

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket: MIK / 06U

Applicant: P. Michael Collins, Steven E. Schaefer

Title: JAMB AND HEADER SURROUND FOR MASONRY WALL

**CERTIFICATE OF MAILING BY EXPRESS MAIL - 37 CFR 1.10**

'Express Mail' mailing label number: EV355036586US

Date of Deposit: June 24, 2003

I certify that this paper or fee (along with the enclosures noted herein) is being deposited with the United States Postal Service 'Express Mail Post Office to Addressee' service under 37 CFR 1.10 on the above date and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Jeremy Friedman*  
By: Jeremy Friedman (person mailing paper)

**UTILITY PATENT APPLICATION TRANSMITTAL**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

This is a request for filing, under 37 CFR § 1.53(b), a(n):

- ☒ **Original (non-provisional) application.**  
☐ Divisional of prior application Serial No. \_\_, filed on \_\_.  
☐ Continuation of prior application Serial No. \_\_, filed on \_\_.  
☐ Continuation-in-part of prior application Serial No. \_\_, filed on \_\_.

**PRELIMINARY AMENDMENT/CALCULATION OF FEES**

- ☐ Please cancel claims \_\_ without prejudice, and prior to calculating the fees. \_\_ total claim(s), of which \_\_ is(are) independent, is(are) pending after the amendment.  
☐ Please enter the enclosed preliminary amendment identified below prior to calculating the fees. \_\_ total claim(s), of which \_\_ is(are) independent, is(are) pending after the amendment.

☒ **Small Entity Status is Requested**

☒ **The Fees are Calculated as Follows:**

Fee:	Number of Claims:	In Excess of:	Extra:	At Rate:	Amount:
Total Claims	24	20	4	\$18	\$72.00
Independent Claims	4	3	1	\$84	\$84.00
MULTIPLE DEPENDENT CLAIM FEE					
BASIC FEE					\$750.00
TOTAL OF ABOVE CALCULATIONS					\$906.00
REDUCTION BY 50% FOR FILING BY SMALL ENTITY					\$453.00
TOTAL					\$453.00

## ENCLOSURES

- ☒ **Utility Patent Application Transmittal Form (in duplicate) containing Certificate of Mailing By Express Mail Under 37 CFR 1.10.**
- ☒ **Return Postcard.**

### APPLICATION PAPERS

- ☒ **Utility Patent Application, with: cover sheet, 23 page(s) specification (including 24 total claim(s), of which 4 is(are) independent, and 1 page(s) abstract).**
- ☒ **Drawings: 6 sheet(s) of formal drawings (7 total figure(s)).**
- ☐ Microfiche Computer Program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence, including (all are necessary): Computer Readable Copy, Paper Copy (identical to computer copy), and Statement verifying identity of copies.
- ☒ **An Executed Declaration, Power of Attorney and Petition Form. (x2)**
- ☐ Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.
- ☐ Certified Copy of priority document(s) identified as attached above.

### ADDITIONAL PAPERS

- ☐ Assignment to \_\_, Recordation Cover Sheet (Form PTO-1595)
- ☒ **Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i)**
- ☐ Preliminary Amendment (to be entered prior to calculation of fees)
- ☐ Information Disclosure Statement, \_\_ sheet(s) Form PTO-1449, \_\_ U.S. Patent Reference(s), \_\_ Foreign Patent Reference(s) and \_\_ Other Reference(s)
- ☐ Other: \_\_

### CHECKS

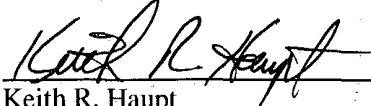
- ☒ **A Check of \$453.00 for the filing fee.**
- ☐ A Check of \_\_ for the assignment recording fee.

**DEPOSIT ACCOUNT AUTHORIZATION**

- ☐ Please charge Deposit Account No. 23-3000 in the amount of     .
- ☒ **The Commissioner is authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 23-3000. A duplicate of this transmittal is attached.**
- ☐ **THE PAYMENT OF FEES IS BEING DEFERRED.**
- 

Respectfully Submitted,

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Keith R. Haupt  
Reg. No. 37,638

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

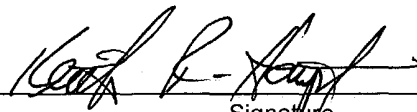
First Named Inventor	Collins, et. al
Title	Jamb and Header Surround for Masonry Wall
Attorney Docket Number	MIK-06U

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

6/24/03

Date

  
Signature

(513) 241-2324

Telephone number

Keith R. Haupt Reg. No. 37,638

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.